

**REQUEST FORM AND FORMALITIES PRELIMINARY AMENDMENT FOR
FILING A PATENT APPLICATION UNDER 37 C.F.R. 1.53(b)**
(Submit an original and a duplicate for fee processing)

21707 U.S. PTO
10/617183
07/11/03

THIS APPLICATION:		PRIOR APPLICATION:		TOTAL NO.
DOCKET NUMBER	FILING DATE	EXAMINER	ART UNIT	OF PAGES
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Address to:

Honorable Commissioner for Patents
Mail Stop Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

July 11, 2003

This is a request for filing a divisional application under 37 C.F.R. 1.53(b) of prior application Serial No. **09/975,963** filed on **October 15, 2001**, entitled **ULTRA SMALL SIZE VERTICAL MOSFET DEVICE AND METHOD FOR THE MANUFACTURE THEREOF** by the following inventors.

[1]	Family Name	First Given Name	Second Given Name
Full Name of Inventor	CHO	WONJU	
	City	State or Foreign Country	Country of Citizenship
Residence & Citizenship	Taejon	Republic of Korea	Republic of Korea
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Post Office Address	#113-401 Hanbit Apt., Eoeun-Dong, Yusong-Gu, Taejon, Korea		
[2]	Family Name	First Given Name	Second Given Name
Full Name of Inventor	LEE	SEONG JAE	
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Residence & Citizenship	Taejon	Republic of Korea	Republic of Korea
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[3]	Family Name	First Given Name	Second Given Name
Full Name of Inventor	PARK	KYOUNG WAN	
	City	State or Foreign Country	Country of Citizenship
Residence & Citizenship	Taejon	Republic of Korea	Republic of Korea
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Post Office Address	#107-902 Narae Apt., Jeonmin-Dong, Yusong-Gu, Taejon, Korea		

1. ☐ A Second Preliminary Amendment is enclosed.
2. ☒ Cancel claim(s) 1-7 for purposes of lessening filing fees.

The filing fee is calculated on the basis of the claims existing in the prior application as amended by 1 and/or 2 above.

<u>Claims</u>			<u>Small Entity</u>	<u>Large Entity</u>
Basic Fee			\$375.00	\$750.00
Total Claims:	11	- 20 =	x 9 = \$	x 18 = \$
Ind. Claims:	1	- 3 =	x 42 = \$	x 84 = \$
[] Multiple Dependent Claims			+140 = \$	+ 280 = \$
			Total \$375.00	

3. ☒ The Commissioner is hereby authorized to charge fees under 37 C.F.R. 1.16 and 1.17 which may be required or credit any overpayment to Deposit Account No. 06-1358. A duplicate copy of this sheet is enclosed.
4. ☐ This application is filed under Rule 53(f) and therefore the [] Filing Fee [] Declaration is not enclosed. All authorization to charge Deposit Account No. 06-1358 for 35 C.F.R. 1.16 fees previously made is revoked.
5. ☒ A check in the amount of **\$375.00** is enclosed.
6. ☒ Amend the specification by inserting before the first line the sentence:

This is a **divisional** application of prior application serial no. **09/975,963** filed on **October 15, 2001**.

☐ which in turn is

7. ☐ A verified statement claiming small entity status under 37 CFR 1.9 and 1.27:

a) ☐ was claimed by way of a declaration filed in prior application Serial No. _____.

b) ☐ is claimed by way of the attached declaration.

8. ☒ Priority of application Serial No. **2001-16190**, filed on **March 28, 2001** in **Korea** is claimed under 35 U.S.C. 119.

a) ☒ Certified copy is on file in prior application, Serial No. **09/975,963**.

b) ☐ Certified copy filed herewith.

9. ☒ The prior application is assigned of record to **ELECTRONICS AND TELECOMMUNICATIONS RESEARCH INSTITUTE**.

10. ☒ The Power of Attorney in the prior application is to at least one of the following:

Yoon S. Ham, 45,307; Harvey B. Jacobson, Jr., 20,851; John Clarke Holman, 22,769; D. Douglas Price, 24,514; Marvin R. Stern, 20,640; Allen S. Melser, 27,215; Michael R. Slobasky, 26,421; Jonathan L. Scherer, 29,851; Irwin M. Aisenberg, 19,007; and William E. Player, 31,409.

a) ☒ The power appears in the original papers of the prior application.

b) ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.

11. ☒ Petition to extend the life of the above prior application to at least the date hereof

(One box) ☐ is being concurrently filed in
NOTE: (must be) that prior application.
(X'd) ☐ was previously filed in that application.
☒ is not necessary.

If a Petition for Extension of Time is necessary and the Petition and/or the check is not enclosed, this will act as the Petition and applicant herewith petitions the Commissioner to extend the time for response and charge any fees necessary under 37 CFR 1.17 (a)(1)-(5) to Deposit Account No. 06-1358.

12. ☐ New formal drawings are enclosed.

13. ☒ Enclosed is a copy of the Information Disclosure Statement (IDS) and PTO 1449 which was filed in Serial No. 09/975,963, filed on October 15, 2001, which is relied on for an earlier filing date under 35 U.S.C. 120.
- a) ☒ More than one IDS was filed in the above Serial No. and copies of each IDS, less the documents, are enclosed.
14. ☒ Enclosed is a copy of the PTO 892, issued in Serial No. 09/975,963, filed on October 15, 2001, which is relied on for an earlier filing date under 35 U.S.C. 120.
- a) ☐ More than one PTO 892 was issued in the above Serial No. _____ and copies of each PTO 892, less the documents, are enclosed.
15. ☒ Also enclosed are:
- A copy of the specification (20 pages) from prior application Serial No. 09/975,963;
 - A copy of the drawings (Figs. 1-11) from prior application Serial No. 09/975,963;
 - A copy of the Declaration and Power of Attorney from prior application Serial No. 09/975,963; and
 - A copy of the Notice of Recordation of Assignment Document and a copy of the Assignment/Change of Name with cover sheet from prior application Serial No. 09/975,963.

Address all future correspondence to:

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The Jenifer Building
400 Seventh Street, N.W.
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Enclosed is a copy of the prior application including the Declaration as originally filed, application, namely Serial No. 09/975,963, filed on October 15, 2001. The entire disclosure of the prior application is hereby incorporated by reference.

Respectfully submitted,

By: *Jonathan L. Phelan* *By Mr. 29.131 for*
Yoon S. Ham
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Signed this 11th day of
July, 2003

☒ Attorney or Agent of record

☐ Filed under 37 CFR 1.34(a)